

Internal Audit Work 2013/14 – Summary

Main Audit Review	Finalised	Assurance	Recommendations			
			R	A	G	Total
Corporate Wide						
Project Management Procedures Compliance	Draft	Amber	-	3	1	4
Tendering and Due Diligence	Jun 2013	Green	-	4	5	9
Spreadsheet usage and control	Final	Green	-	-	1	1
Contractor Final Account Verification	Final	Amber	-	2	-	2
Cash Handling & Banking	Dec 2013	n/a	-	7	1	8
Barbican Centre						
Business Continuity Plan	Jan 2014	Green	-	1	9	10
ICT Review	Jul 2013	Green	-	3	3	6
Current Box Office System (ENTA)	Jun 2013	n/a	-	-	-	-
Stocks and Stores	Aug 2013	Green	-	-	17	17
Project Variations and Change Control	April 2014	Amber	-	3	-	3
Built Environment						
On-Street Parking Income	Jun 2013	Green	-	-	2	2
Project Variations and Change Control	Draft	Green	-	-	2	2
Chamberlain's						
PP2P savings verification	Jul 2013	Green	-	-	-	-
Treasury Management	Oct 2013	Green	-	-	4	4
ICT Backup review	Oct 2013	Amber	-	4	1	5
Business Rates/Council tax – applications hosting	Feb 2014	Green	-	-	-	-
Payroll	Draft	Green	-	-	4	4
Freedom Ceremonies – Income	Feb 2014	Green	-	-	4	4
City Surveyor's						
Refurbishment of the Traditional Crematorium	Jun 2013	Amber	-	4	1	5
Building Repairs and Maintenance	Oct 2013	Amber	-	5	4	9
Investment Properties - Recoverable Works	Jan 2014	Green	-	-	1	1
Asset Disposals and Capital Receipts	April 2014	Green	-	-	4	4
Community and Children's Services						
Appointeeships & Deputyships	Jul 2013	Red	3	15	10	28
Financial Management	Sep 2013	Green	-	1	10	11
Public Health Contracts	Draft	Amber		3	4	7
Comptroller & City Solicitors						
Legal Consultation	Draft	Green	-	2	5	7
Culture, Heritage and Libraries						
Tower Bridge Ticketing System		Draft	-	-	5	5
London Metropolitan Archive - Security of Physical Assets and Collections	Draft	Green (tbc)		2(tbc)	2	4
Mansion House						
Income	Draft	Amber	-	7	5	12
Markets and Consumer Protection						
Market Leases Due Diligence	Draft	Green	-	1	3	4
Open Spaces						
Fleet Management	Feb 2014	Green	-	3	16	19

Open Spaces Income checks	Jun 2013	Green	-	-	13	13
Cemeteries & Crematorium ICT review	Draft	Amber	-	4	4	8
Remembrancers Department						
Remembrancer's Functions and Guildhall Lettings Review	Draft	Green	-	-	6	6
Town Clerks Department						
EDO – Overseas Offices	Draft	Tbc		tbc	tbc	Tbc
PRO – Communication Strategy	Draft	Tbc	-	tbc	tbc	tbc
City of London Police						
City First Project	Jan 2014	Green	-	-	1	1
Third Party Payments	Draft (tbc)	Green	-	-	4	3
Guildhall School of Music and Drama						
Income – Including Fees System	Aug 2013	Green	-	1	8	9
Professor Contracts	Draft	Green		1	3	4
City of London Schools						
CLS - Teaching and Non-Teaching Recruitment	Jan 2014	Green	-	-	3	3
CLFS - Teaching and Non-Teaching Recruitment	Mar 2014	Green	-	-	1	1